OFFICIAL RULES

THE TWENTY-SECOND ANNUAL DUBERSTEIN BANKRUPTCY MOOT COURT COMPETITION

March 1-3, 2014
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THE TWENTY-SECOND ANNUAL DUBERSTEIN BANKRUPTCY MOOT COURT COMPETITION

ORGANIZATION OF THE COMPETITION

The Duberstein Bankruptcy Moot Court Competition (hereinafter the “Competition”) is co-sponsored by the American Bankruptcy Institute and St. John’s University School of Law. Student members of the St. John’s Moot Court Honor Society (hereinafter the “Board”), the American Bankruptcy Institute Law Review, and the LL.M. in Bankruptcy program organize the Competition. The Competition is named in memory of the Hon. Conrad B. Duberstein, former Chief Judge of the United States Bankruptcy Court, E.D.N.Y., and St. John's alumnus.

CERTIFICATION

I. By submitting a brief, each team member certifies that such brief has been prepared in accordance with the rules of the Competition and is the work product of only the members of the team (except as permitted by Rule V, below). In addition, each team shall complete and submit electronically the official Certification and Copyright Form at the same time the brief is due. The Certification form will be posted on the Competition webpage and must be submitted to the Board as provided in Rule X, below. The text of the required Certification is included as the last page of the rules.

TEAMS

II. Number and Composition of Teams; Communication with Teams

(a) Each participating school may enter one or two teams, composed of two or three students each.

(b) All team members must be enrolled in the LL.B. or J.D. program of the school that they represent at the time of the Competition.

(c) On or before Friday, January 10, 2014, each team shall complete the Team Information Form that will be posted on the Competition webpage. The Board will use the team member names as listed on the Team Information Form for awards and certificates.

(d) Each team shall designate an Official Team Contact Person on the registration form and shall provide the name of that individual, the official name of the law school, a telephone number, facsimile number, email address, and mailing address for the Official Team Contact Person. All communications to the team will be addressed to the Official Team Contact Person, and the team shall be responsible for monitoring said numbers and addresses for communications from the Board. The name of the law school, as indicated
on the registration form, will be used for all awards and announcements. If a team wishes to change the Official Team Contact Person or related information, the Board must be notified by email addressed to:

llm@stjohns.edu
Subject: Official Team Contact Person – Team [number]

III. Participation of Team Members in Oral Argument

At least two members of each team must participate in oral arguments. (Please see Rule XII, below). If a team has three members, all three members may participate in oral arguments or one member may participate only in the preparation of the brief and need not argue orally.

IV. Substitution of Team Members

Teams shall not add members after submission of the Team Information Form (Rule II(c)), except upon written permission of the Board based on a showing of extreme hardship. No substitution will be permitted after the commencement of the first argument unless the number of team members drops below two. The work product of a team member who resigns will be deemed the work product of student team members for the purpose of Rule V. The resigning team member may not join or assist any other team.

V. Outside Assistance

(a) The brief and oral argument must be the work product of the student team members only. The text of the brief must be the original work of the team members and cannot be copied from other briefs or sources, except for passages clearly designated as quoted material. A Bench Brief will be prepared for the Competition judges. It is a violation of the Competition rules for any team member, coach, or any person assisting a team to obtain or seek to obtain a copy of the Bench Brief or Brief Grader’s Handbook for the current Competition, or to obtain any information about their contents other than information disclosed by the Board in general communications to all teams. Team members and coaches must report immediately to the Board any offer of such information.

(b) The prohibition on outside assistance does not prevent preliminary general discussion of the issues with faculty or others before beginning to write the brief. Nor does it prevent a faculty member or other person from critiquing a practice argument after submission of the brief, provided that the finalized substance of the oral argument is the work product of the team members. After submission of the brief, teams from the same or different schools may hold practice arguments together; however, prior to submission of the brief, team members shall not attend practice arguments of other teams (including another team from the same school) or communicate with anyone other than members of their team regarding the substance of their arguments.
(c) We encourage teams to arrange practice rounds before local Bankruptcy Judges and local bankruptcy practitioners. A number of sitting federal Bankruptcy Judges judge the advanced rounds of the Competition. Teams are permitted to appear before invited judges for one practice round or in a preliminary competition sponsored by a local bar association (such as the Elliott Cup Competition in Texas). However, no invited judge may coach or assist a team beyond the critique of a single practice or competition round. Teams appearing before a sitting Bankruptcy Judge in a practice or preliminary competition should ask the judge if he or she will be a judge in the Competition and, if so, must advise the Board of the name of the Judge.

VI. Report of Team Standing

After completion of the Competition, the Board will send to the Official Team Contact Person: (1) the oral argument score sheets from the preliminary and octo-final rounds; (2) the brief score sheets; (3) the brief score computation sheet showing the calculation of the brief score under Rule XI(a) and an indication of any penalties assessed under Rule XXIII; (4) the rankings and composite scores of the top 25 teams after the preliminary rounds; and (5) the rankings and composite scores of the 16 teams that competed in the octo-final rounds. It is the Official Team Contact Person’s responsibility to share these materials with team members, as the Board does not retain copies of scoring materials.

BRIEFS

VII. Assignment of Sides

Each team will be assigned randomly to serve as counsel for either petitioner or respondent for purposes of preparing a brief. Where a school has entered two teams, the teams will be required to submit one brief for each side.

VIII. Length and Form

Briefs shall be a maximum of thirty-five (35) pages in length, exclusive of the cover sheet, questions presented, table of contents, table of authorities, opinions below, statement of jurisdiction, statutory provisions, and appendices. Briefs shall be typed on white pages measuring eight and one-half (8.5) by eleven (11) inches. Margins on all sides shall be 1 inch, with double spacing between each line of text. Footnotes, headings, and subheadings may be single-spaced, however, they must be included within the margin limits. Briefs shall be in Times New Roman. The body text must be 12-point font and footnotes must be 10-point font. Pages shall be numbered. The “Questions Presented” section shall be placed after the cover sheet and shall be numbered “i” and the following pages shall be numbered accordingly. The “Statement of Facts” shall be on page number one (1). Unless otherwise altered by these rules, the format of the brief shall comply with
the Rules of the Supreme Court of the United States. The “Statement of Jurisdiction” section of the brief shall state, “The formal statement of jurisdiction is waived pursuant to Competition Rule VIII.” All citations shall comply with the form prescribed in the most recent edition of The Bluebook: A Uniform System of Citation.

IX. Covers and Team Identification

A brief cover sheet is required even though the brief is submitted electronically. The cover sheet shall be on a white page and not on red or blue colored pages. The cover sheet shall contain the assigned team number in the lower right corner with the words "Counsel for Petitioner/Respondent" (whichever is appropriate) underneath. The assigned team number shall also be placed on the top right corner of the cover sheet and of every page of text. Teams shall not sign the brief or indicate authorship in any other place. Neither the individual team members’ names nor the school name should appear anywhere in the brief.

X. Service of the Briefs

(a) Competition Briefs

No later than 11:59 PM EST on Monday, January 27, 2014, each team must:

(i) Serve one copy of its entire brief (including appendices) on the Board by attaching the brief to an email as a single file in PDF format addressed to:

   Ilm@stjohns.edu
   Subject: Brief of Team [number]; and

(ii) Complete and submit the electronic Certification required by Rule I above. The electronic Certification form is on the Competition website, which can be accessed from www.stjohns.edu/law/duberstein. The sample text of the Certification form is included as the last page of these rules for your information only.

It is not necessary to send a printed copy of the Brief or Certification to the Board.

No team will be allowed to alter its brief after it has been submitted.

(b) Service on Opposing Teams

It is not necessary to serve briefs on any other team participating in the Competition. The Board will post all submitted briefs by team number on the Competition website (www.stjohns.edu/law/duberstein). Briefs from other competitors may be downloaded and reviewed to prepare for the Competition.
(c) Copyright

By submitting a brief, each team and each team member grants to St. John’s University and to the American Bankruptcy Institute a non-exclusive right to publish the brief on the Internet and in print.

XI. Brief Scoring

(a) Brief Score

A committee of attorneys practicing in the bankruptcy field will score the briefs anonymously. Each brief will be scored on a 50- to 100-point scale. Each brief will be scored by two scorers, with the brief score being the average of the scores received. In the event that the difference between the scores is greater than fifteen points, the brief will be scored by a third scorer and the brief score will be the average of the two closest scores. However, if one of the scores is at the mid-point between the other two, then the mid-point score will be the brief score.

(b) Best Brief Award

The team with the highest brief score will win the “Best Brief” award. In addition, the teams with the next four highest brief scores will receive “Outstanding Brief” awards. In the event of a tie, the tied briefs will be re-scored by a member of the St. John’s faculty, and the brief receiving the highest score will be the best brief. The re-scoring of tied briefs will not change the brief scores determined pursuant to the preceding subsection and those scores will be the ones used in the preliminary and octo-final rounds pursuant to Rule XIII below. The team winning the “Best Brief” award and the teams winning “Outstanding Brief” awards will be announced at the Awards Banquet. A team must argue in all preliminary rounds in order to qualify for the brief awards.

ARGUMENTS

XII. Length and Format of Arguments

Each team is limited to thirty (30) minutes of oral argument. Two (2), and only two (2), team members will argue in each round. Only the two team members arguing in the round may be seated at counsel table. The division of the thirty (30) minutes allotted for the argument may be made at the discretion of the team; however, no team member may be apportioned fewer than ten (10) minutes. Petitioners may reserve up to three (3) minutes of rebuttal time prior to the commencement of the argument. Teams that reserve rebuttal shall notify the Bailiff of how to deduct rebuttal time before the arguments begin. Time reserved for rebuttal will be counted as part of the thirty (30) minutes allotted for the argument. Judges may allow additional argument time at their discretion. The Bailiff time cards will indicate when time has expired and when one (1), three (3) five (5) and ten (10) minutes of argument time remain.
XIII. Scoring

The scores for each round will be computed as follows:

<table>
<thead>
<tr>
<th>Round</th>
<th>Brief</th>
<th>Oral Argument</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Preliminary Rounds</td>
<td>40%</td>
<td>60%</td>
</tr>
<tr>
<td>2. Octo-Final Rounds</td>
<td>30%</td>
<td>70%</td>
</tr>
<tr>
<td>3. Quarter-Final Round</td>
<td>0%</td>
<td>100%</td>
</tr>
<tr>
<td>4. Semi-Final Round</td>
<td>0%</td>
<td>100%</td>
</tr>
<tr>
<td>5. Final Round</td>
<td>0%</td>
<td>100%</td>
</tr>
</tbody>
</table>

XIV. Preliminary Rounds and Octo-Final Rounds

(a) In General

Each team will argue in three preliminary rounds, with at least one argument for petitioner and one for respondent. Each team will argue twice on the first day of competition and once on the second day of competition. (Note that the number of rounds may be reduced if necessary in order to complete the Competition – for example, to deal with delays caused by inclement weather.) The sixteen (16) teams with the highest mean (average) score in the three preliminary rounds will advance to two octo-final rounds to be held in the afternoon on the second day of competition. In the event of a tie, the team with the higher brief score will advance. While St. John’s J.D. students may not compete in the Competition and are not eligible for any Competition awards, one or more “filler” teams composed of St. John’s J.D. students may participate in the preliminary oral argument rounds (and in the octo-final rounds if a team is disqualified).

(b) Semi-Finalist Awards

Each team that advances to the octo-final rounds will be a “Semi-Finalist” in the Competition. Each such team that does not advance to the quarter-final round, will receive a “Semi-Finalist” award.

(c) Pairing of Teams in Preliminary Rounds

Pairing in the preliminary rounds will be random. However, teams from the same school will not be paired against each other.
(d) Pairing of Teams in Octo-Finals

Pairing for the octo-final rounds will be determined by seeding. In the first octo-final round, the top seeded team will argue against the team seeded sixteenth ($16^{th}$), the second ($2^{nd}$) seeded team will argue against the team seeded fifteenth ($15^{th}$), and so on. In the second octo-final round, the top seeded team will argue against the team seeded ninth ($9^{th}$), the second ($2^{nd}$) seeded team will argue against the team seeded tenth ($10^{th}$), and so on. Seeding will be established according to a team’s mean (average) score from the preliminary rounds. In the event that there are teams with the same mean (average) score, the team with the higher brief score will be seeded higher. Seeding will be strictly followed in the octo-final round. Therefore, teams that have argued against each other in the preliminary rounds, or teams from the same school, may be paired against each other in the octo-final rounds.

(e) Assignment of Sides in Octo-Finals

In the first octo-final round, teams seeded first ($1^{st}$) through eighth ($8^{th}$) will argue for the petitioner, with teams seeded ninth ($9^{th}$) through sixteenth ($16^{th}$) arguing for the respondent. In the second octo-final round, teams seeded first ($1^{st}$) through eighth ($8^{th}$) will argue for the respondent, with teams seeded ninth ($9^{th}$) through sixteenth ($16^{th}$) arguing for the petitioner.

(f) Time and Place

Oral arguments for the preliminary and octo-final rounds will take place at St. John’s University School of Law, 8000 Utopia Parkway, Jamaica (Queens), New York 11439, on Saturday, March 1, 2014, and Sunday, March 2, 2014. A continental breakfast and buffet lunch will be provided on Saturday, and on Sunday, a buffet lunch and a late afternoon reception will be provided for all competitors and coaches. The octo-finalist announcement will be made on Sunday, March 2, 2014, at the lunch. The quarter-finalist announcement will be made on Sunday, March 2, 2014, at the reception. Transportation between St. John’s and the competition hotel will be provided for all teams and coaches. Parking will also be available for those teams wishing to drive directly to the St. John’s University Queens Campus.

XV. Advancement to Later Rounds

The teams with the highest mean (average) scores in the octo-final rounds will advance to the quarter-final rounds on the third day of the Competition. In subsequent rounds, the team with the highest oral advocacy score from each team pairing will advance to the next round. Judges in the quarter-final, semi-final, and final rounds will confer and determine the winning team in each pairing, and are not required to use score sheets or to calculate a numerical score. Eight (8) teams will advance to the quarter-final round, four (4) teams will advance to the semi-final round, and the two (2) remaining teams will advance to the final round. In the event of a tie, the team with the higher brief score will advance.
XVI. Quarter-Final, Semi-Final and Final Rounds

(a) Time, Place and Number of Teams

The quarter-final round will be held on **Monday, March 3, 2014**, at the Conrad B. Duberstein United States Bankruptcy Courthouse in Brooklyn, New York. The quarter-final round will consist of the top eight (8) teams remaining after the octo-final rounds. The winning team from each of the four (4) quarter-final arguments will advance to the semi-final round. The winning team from each of the two (2) semi-final arguments will advance to the final round. The Board reserves the right to change the location of any round. Transportation for competing teams on **Monday, March 3, 2014** will be provided to the Courthouse and from the Courthouse to the Awards Banquet location immediately following the Final Round.

(b) Finalist Awards

Each team that advances to the quarter-final round will be a “Finalist” in the Competition. Each such team that does not advance to the semi-final round will receive a “Finalist” award.

(c) Pairing of Teams

Pairing for the quarter-final round will be determined by seeding, with the top seeded team arguing against the team seeded eighth (8th), the second (2nd) seeded team arguing against the team seeded seventh (7th), and so on. Seeding will be established according to a team’s mean (average) score from the octo-final rounds. In the event that there are teams with the same mean (average) score, the team with the higher brief score will be seeded higher. Seeding will be strictly followed in the quarter-final round. Therefore, teams that have argued against each other in the preliminary rounds, or teams from the same school, may be paired against each other in the quarter-final round. In the semi-final round, the winners of the arguments involving the first (1st) and third (3rd) seeded teams will argue against each other and the winners of the arguments involving the second (2nd) and fourth (4th) seeded teams will argue against each other.

(d) Assignment of Sides

Assignment of sides to be argued will be made at the discretion of the Board.

(e) Announcement of Winning Teams

The winner of the final round of the Competition will be announced at the Awards Banquet. The winner of each pairing in the quarter-final and semi-final rounds will be announced after each argument.
XVII. Best Oral Advocate

The competitor with the highest mean (average) score for oral argument during the preliminary rounds will win the “Best Oral Advocate” award. In addition, the competitors with the next four highest mean (average) scores for oral argument during the preliminary rounds will receive “Outstanding Oral Advocate” awards. In the event of a tie, the competitor from the team with the higher brief score will be chosen. If there are three (3) preliminary rounds, a competitor must argue at least twice in order to be considered for the oral advocacy awards. The winners of the “Best Oral Advocate” award and the “Outstanding Oral Advocate” awards will be announced at the Awards Banquet.

XVIII. Attendance at Arguments

No team member, coach, or faculty advisor of a team participating in the competition may attend an argument of any other team during the preliminary rounds. Absent the consent of both teams, the attendees at each argument are limited to the members of the competing teams and two coaches or two faculty advisors for each team. Requests for permission for additional attendees must be made to the Board before the teams are escorted to the argument room. All attendees must sit in an area designated by the Bailiff. All participants may attend the octo-final, quarter-final and semi-final rounds, and are strongly encouraged to attend the final round of oral arguments to be held before distinguished members of the judiciary.

XIX. Communication During Rounds

Once a round has begun, there shall be no communication in any form from any member of the audience, including coaches, faculty advisors, or team members who will not be arguing during that round. Teams are not permitted to disclose the identity of their schools to judges until either the conclusion of the Competition or the team’s elimination from the competition, whichever is earlier.

XX. Miscellaneous

Participation in the Competition, whether as a team member, coach, or faculty advisor, constitutes consent to be photographed and recorded. Photographs and recordings may be displayed or distributed during and after the Competition in a variety of formats and media, including projection during the Awards Banquet, posting on the Internet, and use in press releases and promotional materials. Participants waive all claims for any compensation, damages, or other remedies in connection with such photographs or recordings and their use.
INTERPRETATION AND ENFORCEMENT OF THE RULES

XXI. Clarification, Interpretation, and Determinations

Submit requests for clarification of the rules to:

Ilm@stjohns.edu
Subject: Duberstein Rules

Submit requests for clarification of the fact pattern to:

Ilm@stjohns.edu
Subject: Duberstein Fact Pattern

Clarifications and interpretations will be posted on the Competition website and transmitted to all participating schools by email addressed to the Official Team Contact Person.

Scoring is inherently subjective. The Board’s determination of advancing and winning teams and of winning competitors shall be final. In the event of an error, the Board reserves the right, but has no obligation, to grant additional awards to any team or competitor disadvantaged by the error. Any decisions or other actions taken by the Board shall be final and binding on all participants.

XXII. Amendments

The Board reserves the right to make and implement any further rules and procedures or take any actions deemed advisable for the conduct of the Competition. Each participating school will be notified of any changes in writing or by email addressed to the Official Team Contact Person.

XXIII. Penalties

The Board may assess such penalties, including disqualification, as it deems reasonable and appropriate for failure to comply with the foregoing rules. All penalties imposed by the Board shall be final and binding. Specific penalties that will be deducted from a team’s brief score for the following rule violations are:

(a) Five (5) points per calendar day (up to a maximum of 10 points) for late service of briefs required by Rule X, above;
(b) Two (2) points per page beyond the page limit for the brief;
(c) One half (½) point for each page containing a violation of the type size or margin requirements where, in the Board’s opinion, the violation gives the team an advantage (up to a maximum of 10 points).
SAMPLE CERTIFICATION AND COPYRIGHT FORM
(PLEASE SUBMIT ELECTRONIC FORM ONLINE)

22nd Annual Duberstein Moot Court Competition
Team Certification and Copyright

We hereby certify that the brief submitted by:

Team Number

School Name

Official Team Contact Name (as provided on original team registration form)

Official Team Contact E-mail Address (as provided on original team registration form)

has been completed in accordance with the Rules of the Conrad B. Duberstein Bankruptcy Moot Court Competition and represents the work product of only the undersigned members of the team (except as permitted under Competition Rule V).

We grant to St. John's University and to the American Bankruptcy Institute a non-exclusive right to publish the brief on the internet and in print.

Date

Team Member 1

Team Member 2

Team Member 3