

2007 Investment Management Conference - Speaker Profiles

10:00 – 11:00am Keynote Address

Introduction: Dean Richard Highfield

Warren Spector is President and Co-Chief Operating Officer of The Bear Stearns Companies, Inc. He sits on the Board of Directors and has been a member of the company's Executive Committee since 1992. Mr. Spector started with the firm in 1983 as a trader on the government bond desk and later headed Bear Stearns' Fixed Income Division, being appointed to his current positions in 2001. In 1993, he formed the Derivatives Department, now one of the top five issuers of credit derivatives and a leader in equity derivatives. Under his leadership, the fixed income division has grown to a diversified global franchise with more than \$3 billion in revenues. He oversees the firm's Global Clearing Services franchise and is also responsible for guiding Bear Stearns Asset Management. He holds a BA from St. John's College, a unique liberal arts college known for its distinctive "great books" curriculum, and his MBA from the University of Chicago.

11:15am-12:30pm 1A -- Investment Research Essay Competition Final Presentations

Session Moderators: Professor Rong Qi, Professor Takeshi Nishikawa

The three essay finalists are:

"Sick Dough Fund", by **Rhiannon Darrone, Danielle Macready, Ross Pancoe, Katherine Sansone** of Siena College.

"Chinese American Depository Receipts (ADR) Arbitrage" by **Derek Wong** of St. John's University

"Modeling Metropolitan Growth: A Statistical Study of Changes in Metropolitan Real Estate Markets" by **Christopher Urban** of Canisius College

11:15am-12:30pm 1B – Student-Managed Investment Funds

Session Moderator: Professor Igor Tomic

New York University: **Veersen Ghatge, Elizabeth Boylan**

Pace University: **Paul Kosinski**

St. John's University: **Shaunte King, Matthew Jensen, Anthony Gudrupis, Jr.**

Villanova University: **Adam Dekel, Graham Holding**

1:30-2:45pm 2A – "The Flat-World Curve and Implications for the American Economy"

Session Moderator: Professor John Neumann

Susan M. Byrne is the Founder, Chairman and CIO of Westwood Holdings Group, Inc., a publicly held institutional asset management firm with approximately \$6 billion in assets under management. Founded in 1983, Westwood manages investment assets and provides services for its clients through two subsidiaries, Westwood Management Corporation and Westwood Trust. Ms. Byrne is a board member of the Southwestern Medical Foundation where she serves on the Investment Committee. She is also a member of the Dallas Citizens Council. She has formerly served on the boards of UTIMCO, a private non-profit company that manages approximately \$19.3 billion in public funds, and the City of Dallas Employees Retirement Fund and the Governors Business Council. Ms. Byrne has over thirty-six years of Wall Street, portfolio management and plan sponsor experience. She is a frequent guest on **CNBC** and has been featured in numerous financial publications such as *Barrons*, *Smart Money*, *Wall Street Journal* and *Fortune*.

1:30-2:45pm 2B – Globalization and Investment Opportunities in China and India

Session Moderator: Professor Therese Pactwa

Ardavan Mobasheri, Managing Director Bear Stearns Asset Management, Senior Economist and Strategist, has been with the firm since 2002. He spent two years as a strategist in the Portfolio Strategies Group focusing on balance sheet and portfolio analysis of Banks, Thrifts, and Credit Unions. From 2000 to 2002 he was a Partner and Head of Risk Management and Trading at TriStar Advisors LLC. Mr. Mobasheri has a BS in Industrial Engineering from Lehigh University, an MS in Financial Engineering from Polytechnic University in New York and is currently a PhD candidate in Economics at the City University of New York. He is a CFA Charterholder (CFA) and a certified Financial Risk Manager (FRM).

F. Jacob Cherian is President and CEO of Millennium India Acquisition Company and has previously served as an adjunct professor at St. John's University.

3:00-4:30pm 3A – Trading in Derivatives and Foreign Exchange Markets

Session Moderator: Professor Thomas Liaw

Gregory Cotter is President and Head Trader of TriGlobal FX, a foreign currency trading firm based on Long Island. Mr. Cotter has been a successful foreign exchange trader at some of the world's largest financial institutions since 1983, including Societe Generale (1998 to 2004), Swiss Volksbank, Banque Indo-Suez, Credit Suisse, First Chicago, and European American Bank.

Juny Sridhara is currently Director of Proprietary Trading at Merrill Lynch after having served previously as an interest rate swap trader for Lehman Brothers. He has a BS in chemical engineering from the University of Texas-Austin and his MBA from Harvard Business School. He began his career performing scientific research and moved to Wall St. in 1998.

3:00-4:30pm 3B – Investments and Financing Decisions

Session Moderator: Professor M. Northrup Buechner

Frank Riggio joined The Todd Organization in 2004, bringing with him many years of experience in helping companies design, finance, and implement executive retirement plans and related benefits. He has held officer positions with JP Morgan Chase, US Trust Company of New York and Shearson, Lehman, Hutton. Frank began his career in Public Accounting focused on tax planning for businesses, wealthy families and professionals and later moved into corporate banking specializing in asset-based financing for middle market companies. His BS in Public Accounting is from St. John's University.

Richard Hrvatin is a managing director in Derivative Fitch's New York office. He is a senior member of the Structured Credit team, responsible for management of CDO transaction rating teams that assign ratings to synthetic, cash, balance sheet, and market value CDOs. Since joining Fitch in 1998, Mr. Hrvatin has spearheaded innovative research and modeling initiatives, including the development of Fitch's rating criteria for mortgage CBOs, ABS CDOs, and the launch of Fitch's flagship VECTOR model. Prior to joining Fitch, Richard held positions in the structured finance groups at Financial Security Assurance (FSA) and Sakura Bank. He holds a BS in manufacturing engineering from Rochester Institute of Technology, an MBA in international finance from St. John's University, is a CFA charterholder, and also an adjunct professor at the Tobin College of Business.