St. John's University — The Peter J. Tobin College of Business

2006 Investment Management Conference - Speaker Profiles

10:00 – 11:05am Employers and Professional Certification
Session Moderator: Professor Keith Moore

Robert Hill is a manager with Ameriprise Financial Services, formerly American Express Financial Advisors. He is a member of the Financial Planning Association and does personal financial planning towards client goals of wealth preservation, protection planning/family security, and retirement.

Bruce Ross is an independent financial planner who holds the CFP, CLU and ChFC certifications. He consults for Chase Learning and Development and the NY Institute of Finance, and has taught the insurance and retirement planning courses within the CFP program at St. John's University, Pace University, Baruch College, and Marist College.

Hal Geller is Associate Director in the Member Regulation Department of NASD. He manages a staff of 24 in reviewing all aspects of membership compliance with NASD and SEC rules and regulations. Mr. Geller has been with the NASD since 1985. Over his career, he has worked as a stockbroker as well as an internal auditor for a New York investment firm.

11:15am-12:20pm A-Nationwide Essay Competition Final Presentations
Session Moderators: Professor Rong Qi, Professor Takeshi Nishikawa

11:15am-12:20pm B -- Portfolio Management and Construction
Session Moderator: Professor John Neumann

Jeffrey Heisler is currently a senior analyst at Gottex Fund Management in Boston where he is responsible for product development, manager due diligence, portfolio management and risk reporting. Previously, Mr. Heisler was a faculty member in the School of Management at Boston University where he helped establish and was faculty director of the school's Masters in Investment Management (MSIM) program.

Jonathan Shelon co-manages Fidelity Advisor Freedom funds and Fidelity Freedom funds. He also co-manages the IRS Code 529 tax-advantaged college savings plans for the states of New Hampshire, Massachusetts, Delaware and Arizona.

1:15-2:15pm Keynote Address, Recognition of Essay Contest Winners

- Introduction of Dean: **Thomas Liaw**, Chair, Economics and Finance Dept.
- Presentation to Essay Contest Winners: **Richard Highfield**, Dean, Tobin College of Business
- Introduction of Keynote Speaker: Professor **John Neumann**

Jonathan Shelon is a portfolio manager in the Global Asset Allocation Group of Fidelity Management & Research Company (FMRCo), the investment management organization of Fidelity Investments, the largest mutual fund company in the United States and No. 1 provider of workplace retirement savings plans. Additionally, Mr. Shelon is responsible for constructing and managing risk-controlled portfolios for Fidelity's institutional clients. He is a Fellow of the Society of Actuaries, a CFA charterholder, and a member of both the American Academy of Actuaries and the CFA Institute.

2:20-3:30pm A -- Current Trends in Commodities Markets/Trading
Session Moderator: Professor Therese Pactwa

Joseph O'Neill is Senior Vice President of Market Development at the New York Board of Trade, the world's leading exchange for soft commodities. For well over a century, the New York Board of Trade has provided reliability, integrity and security in a global marketplace for cocoa, coffee, cotton, ethanol, orange juice, pulp, and sugar, as well as currency and index futures and options. Previously, Mr. O'Neill was Vice President and Secretary of the New York Cotton Exchange from 1970 until 1983, Executive Vice President and Chief Operating Officer from 1983 to 1984, and then President and CEO from 1984 to 1998.

Gregory Cotter is President and Head Trader of TriGlobal FX, a foreign currency trading firm based on Long Island. Mr. Cotter has been a successful foreign exchange trader at some of the world's largest financial institutions since 1983, including Societe Generale (1998 to 2004), Swiss Volksbank, Banque Indo-Suez, Credit Suisse, First Chicago, and European American Bank.

2:20-3:30pm B -- Uses of Financial Labs/Trading Rooms on College Campuses
Session Moderator: Professor Anthony Pappas

Patrick Gregory is on the faculty at Bentley College and is the Managing Director of their on-campus financial lab, the Hughey Center for Financial Services. Formerly an investment specialist for Northwest Savings Bank, Mr. Gregory is a Master Financial Planner, Registered Financial Planner and Registered Investment Advisor specializing in tax and personal financial planning.

3:45-5:30pm NYSSA Career Program
Moderator: Professor Keith Moore

Eric Stieglitz is the founder of the five member Career Advocacy Group, a career consulting company that uses a practical approach to search strategies, decision-making and career planning. He is currently a member of the Board of Directors for the New York Society of Security Analysts (NYSSA), a CFA Institute affiliate. Eric has lectured before the CFA Institutes' Midwest and Eastern Regional Conferences and conducted or participated in numerous student-oriented on-campus career and industry seminars in the NYC area: Fordham University, New York University, Pace University, Rutgers University, Seton Hall University, St. John's University and Yeshiva University.

Hamilton Lin, CFA, is founder of Wall St. Training, a financial-services training and consulting company. Wall St. Training provides hands-on individual, classroom and customized corporate training programs for financial analysts in Investment Banking, Equity Research, Investment Management and related fields. Hamilton is very active in career development initiatives, having co-founded a non-profit organization, The Interview Club, dedicated to helping people succeed in the job search. He is also an active member on the Career Development Committee of NYSSA.

John M. Eade is President of Argus Research Corporation. He has been with the firm and in the industry for 15 years and has been Director of Research since 1997. His broad range of industry knowledge comes from serving as Argus' analyst for the pharmaceutical, healthcare, media, publishing and retail industries during his tenure with the firm. As Director of Research, his responsibilities include forming the firm's overall investment strategy, and he chairs the Investment Policy Committee.

Kedrick Brown has over eight years of professional equity trading experience, most recently as Vice President in the Knight Equity Markets, L.P. subsidiary of Knight Trading Group, Inc. (now Knight Capital Group, Inc.). At Knight, he made markets in hundreds of equities from multiple industries through a wide range of volatility, liquidity and market structure conditions.